



## Richard Michael

Director

PFM Asset Management LLC

Richard Michael began assisting PFM's asset management business as director of compliance in 2008. He was hired by PFM as a compliance attorney/associate counsel two years later. In his role as a compliance attorney, Richard is extensively involved with all compliance and regulatory issues involving the asset management business and its wholly-owned registered broker/dealer, PFM Fund Distributors, Inc. He assists the chief compliance officer in developing, updating and administering the ongoing compliance programs, as well as providing training and support to employees and registered representatives on compliance matters. He also provides support on such issues with respect to the registered mutual fund for which PFM's asset management practice serves as investment advisor.

Additionally, he interacts with the legal counsels of each of the local government investment pools (LGIPs) and the registered mutual fund in matters pertaining to operations, information statements and disclosures, and general contractual matters.

Richard recently completed his term as President of the Pennsylvania Association of Bond Lawyers (PABL), where he serves as a Board member and as former Treasurer, Vice President and Education Chair.



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### Contact

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### Education

Princeton University

J.D.  
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**Started with PFM:** 2010