



## Richard Pengelly, CFA, CIMA, CTP

Director

PFM Asset Management LLC

As a director in the Orlando office, Richard Pengelly provides a broad range of investment advisory and portfolio management services to local governments and non-profit entities. He has worked with all types of public sector investment portfolios including bond proceeds, long-term reserve funds, operating funds, and statewide pools. He specializes in assisting organizations implement structural reforms in their investment programs.

Richard has more than two decades of experience providing investment and financial management expertise to public sector entities nationwide. He has served as an instructor on public investing for the Government Finance Officers Association (GFOA). He has also been a speaker on government investment best practices for numerous industry organizations in the South including the Florida Government Finance Officers Association (GFOA), the Government Finance Officers Association of Alabama (GFOAA), the Association of Government Accountants Tennessee (AGA), the Florida School Finance Officers Association (FSFOA), the Florida Association of School Business Officials FASBO) and the Florida College System Council of Business Affairs (COBA) to name a few.

Prior to joining PFM, Richard was head of municipal structuring for the Jefferies Municipal Securities Group, where his primary focus was structuring and executing financial product strategies with an emphasis on reducing financial portfolio risks for municipalities.

Richard holds several designations including the Chartered Financial Analyst (CFA) designation, the Certified Investment Management Analyst (CIMA), and Certified Treasury Professional (CTP) designations. In the community, he serves on the Education



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### Contact

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### Specialties

Asset Management

Higher Education, State &  
Local Governments, K-12  
Schools

### Education

B.A. in Physics  
Harvard University

### Professional Designations or Licenses

FINRA Series 6, 7, 53 and 63  
Licenses

Chartered Financial Analyst  
(CFA)

Certified Investment  
Management Analyst (CIMA)

Certified Treasury Professional  
(CTP)

**Started with PFM:** 2013

**Started in the Field:** 1995



**pfm**

Foundation of Osceola County Board of Directors among other nonprofit and volunteer work.